ECML/PKDD 2020

WATERMARK **Attacking Optical Character Recognition** (OCR) Systems with Adversarial Watermarks



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Introduction

Optical Character Recognition (OCR) is a widely adopted application for conversing printed or handwritten images to text, which becomes a critical preprocessing component in text analysis pipelines, such as document retrieval and summarization. OCR has been significantly improved in recent years thanks to the wide adoption of the deep neural network (DNN), and thus deployed in many critical applications where OCR's quality is vital. For example, photobased ID recognition depends on OCR's quality to automatically structure information into databases, and automatic trading sometimes relies on OCR to read certain news articles for determining the sentiment of news.

Unfortunately, OCR also inherits all counter-intuitive security problems of the DNNs. Especially, the OCR model is also vulnerable to *adversarial examples*, which are crafted by making human-imperceptible perturbations on original images with the intent of misleading the model. The wide adoption of OCR in real pipelines gives more incentives for adversaries to game the OCR, such as causing fake ID information, incorrect readings of metrics or instructions, etc. Figure 2 and 3 in the evaluation section illustrate two realworld examples with attacking the ID number and financial

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JPG

IMAGE

FII F

SCANNED

DOCUMENT

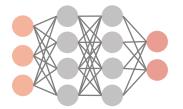
PDF

PDF

FII F



Deep Neural Network



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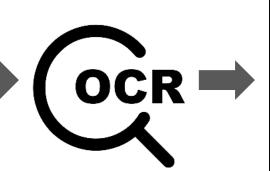


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Introduction

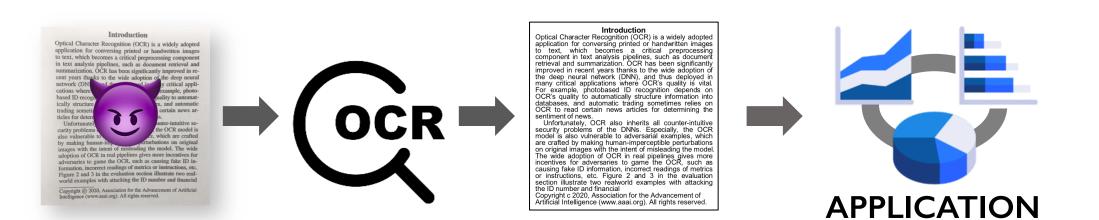
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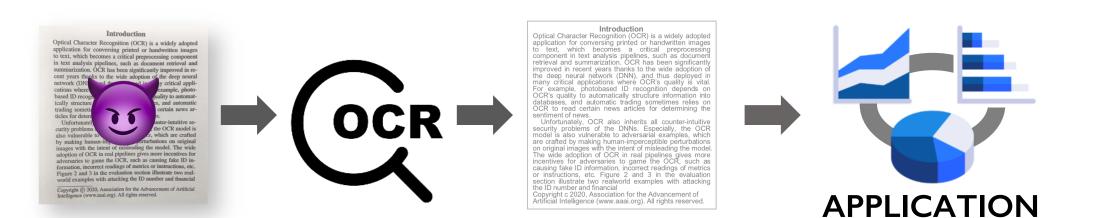
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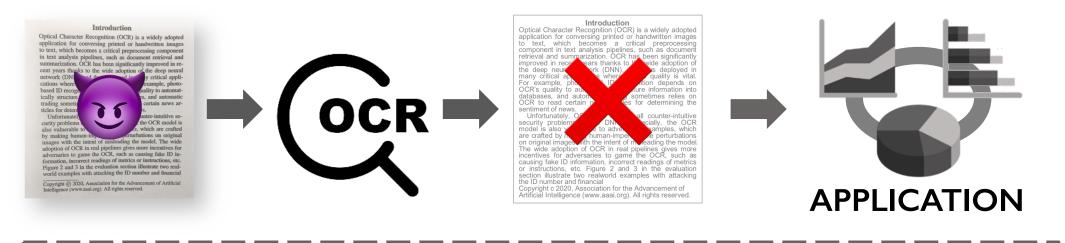






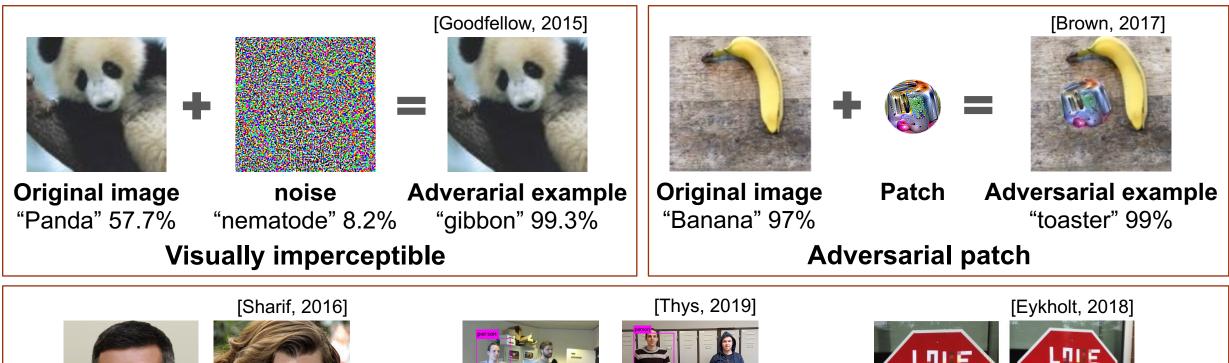








Traditional Attacks



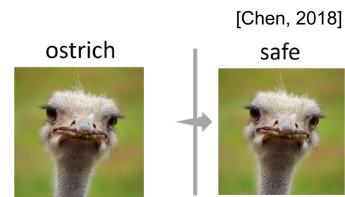




Adversarial attacks in the real world

Traditional Attack vs. OCR Attack: 1. Image Backgrounds

colorful background vs. white background

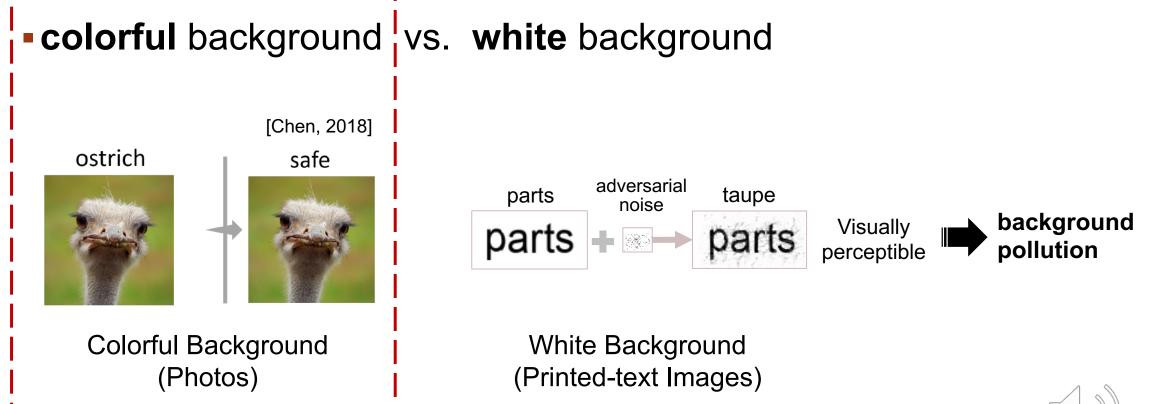




Colorful Background (Photos) White Background (Printed-text Images)



Traditional Attack vs. OCR Attack: 1. Image Backgrounds





Traditional Attack vs. OCR Attack: 1. Image Backgrounds

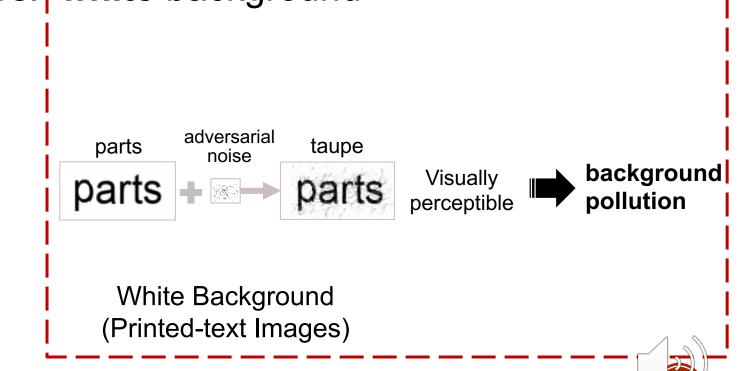
colorful background vs. white background





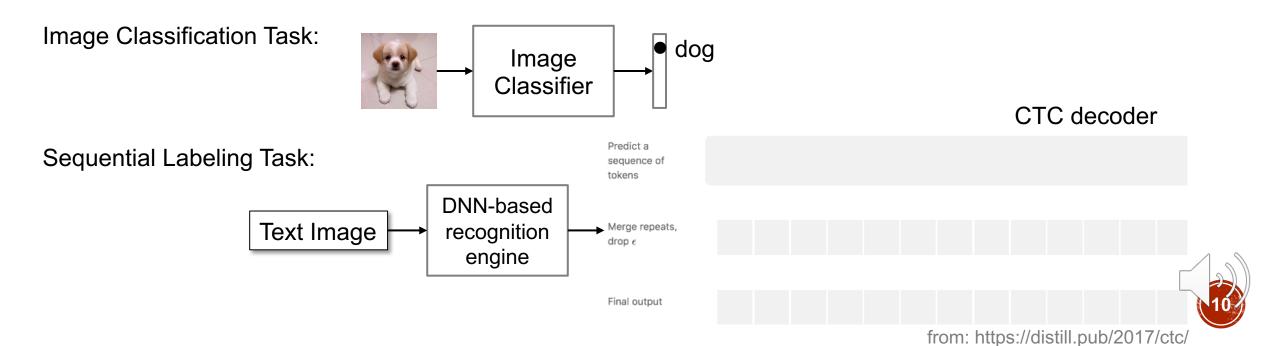
[Chen, 2018]

Colorful Background (Photos)



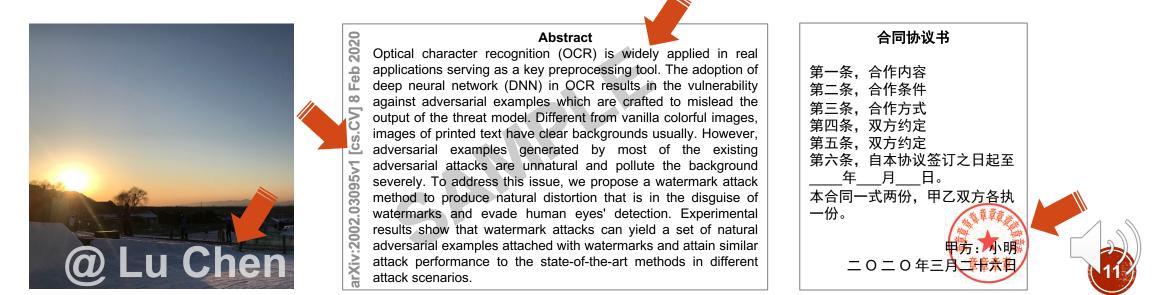
Traditional Attack vs. OCR Attack: 2. Model Tasks

- Traditional models ---- image classification task
 cross entropy loss



FAWA: Fast Adversarial Sequential labeling task Watermark Attack

Making use of the popularity of watermark (**WM**) in the documents, we hide noise in watermarks.



FAWA: Fast Adversarial ^s Watermark Attack Season Basic idea:

background pollution sequential labeling task

Making use of the popularity of watermark (**WM**) in the documents, we hide noise in watermarks.





background pollution sequential labeling task

FAWA

1. Natural

targeted text: taupe



2. Fast 100% attack succes rate

78% fewer iterations

3. Low Perturbation Level

60% less noise



background pollution sequential labeling task

FAWA

1. Colored Watermark

targeted text: *randem*



2. OCR Model of Other Language



3. OCR accuracy-enhancing mechanism









FAWA: Attack Settings

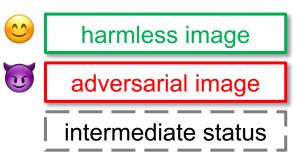
White-box Model

 Attackers have <u>perfect knowledge</u> of the DNN architecture and parameters.

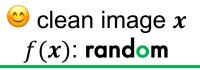


Attackers aim to generate specific recognition texts.





Attack Pipeline



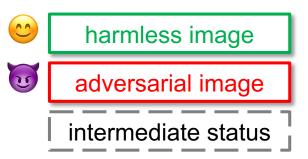


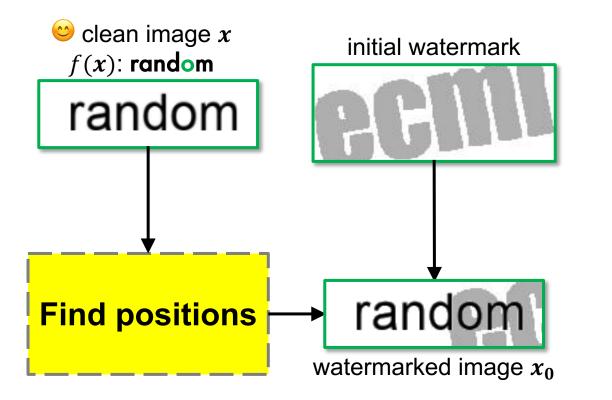






Attack Pipeline: 1. Find Positions

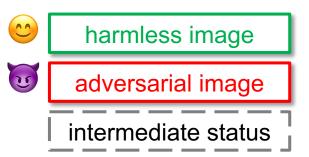




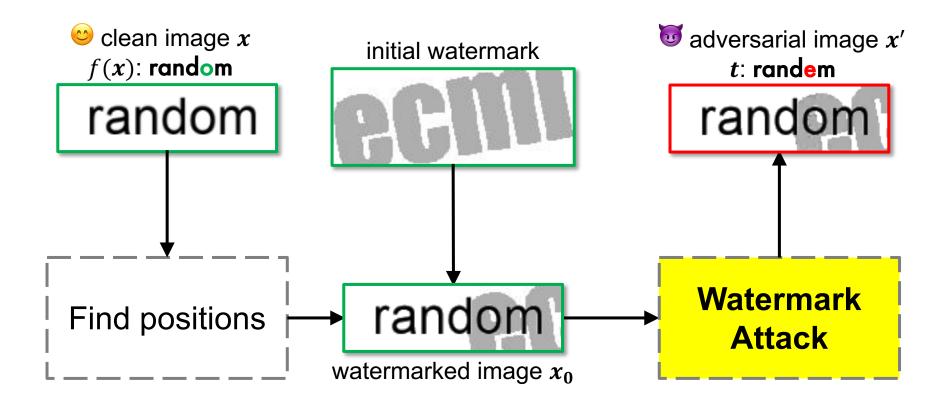






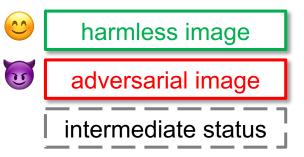


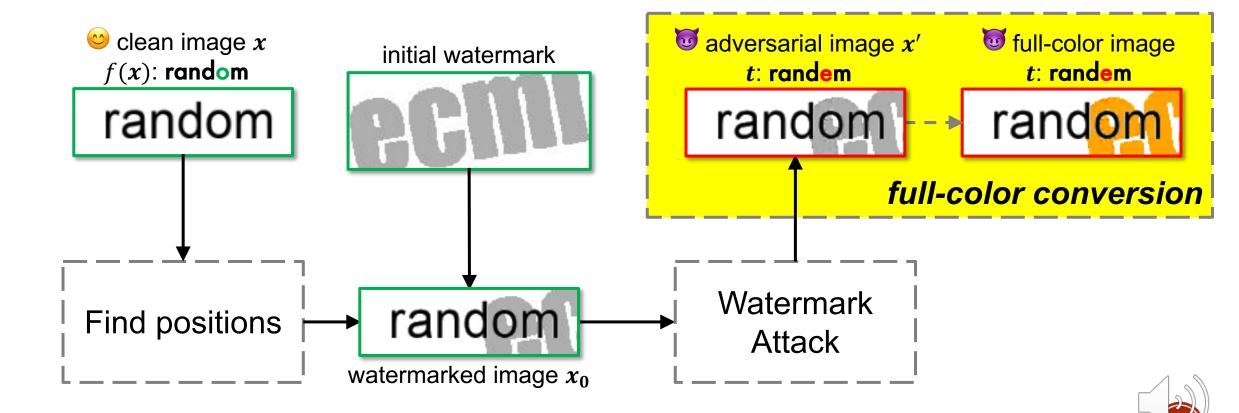
Attack Pipeline: 2. Watermark Attack



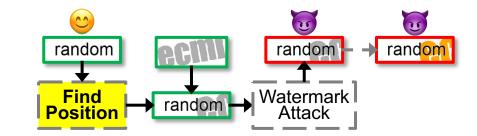


Attack Pipeline: 3*. Full-Color Conversion





Critical Preprocess: Find Positions

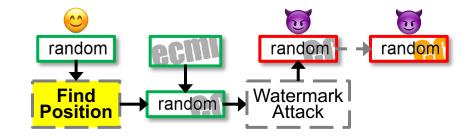


Basic Attack

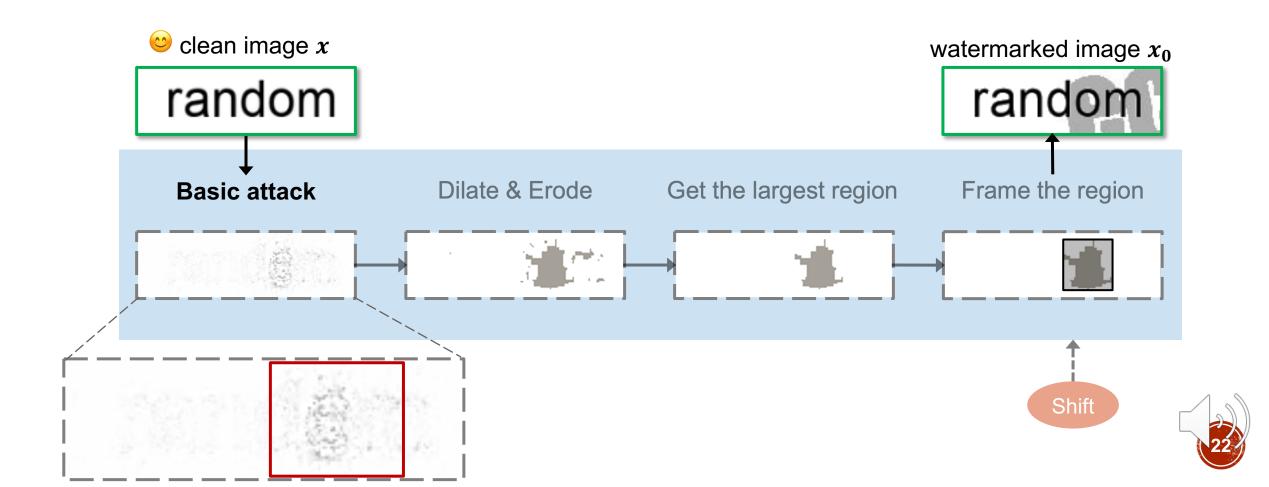
- We find positions based on the noise of the basic attack.
- We use Momentum Iterative Method (MIM) as the basic attack to find positions.

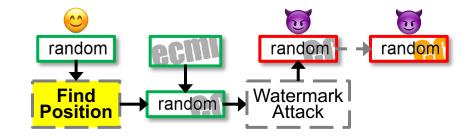


[Dong, Yinpeng et al. "Boosting Adversarial Attacks with Momentum." 2018 CVPR]



Find Positions: 1. Basic Attack





Find Positions: 2. Dilate & Erode



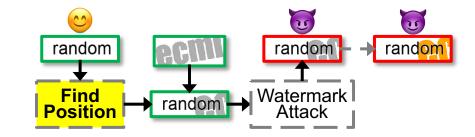
random **Find Positions:** Watermark Attack Find Position random -3. Get the largest region



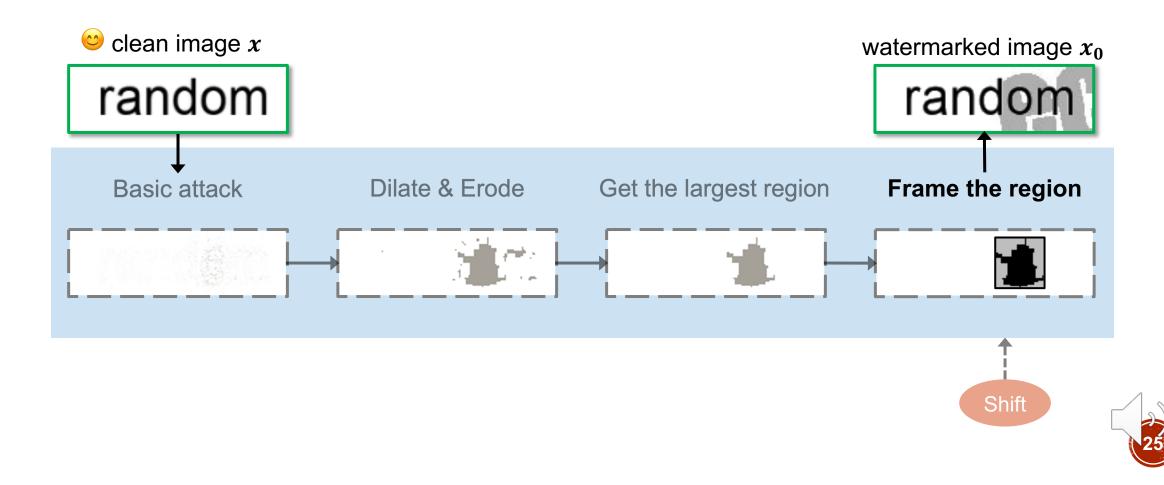
35

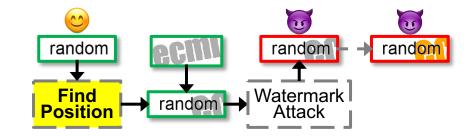
randor

random



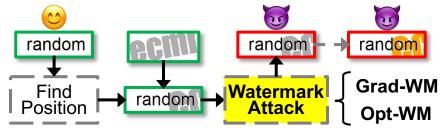
Find Positions: 4. Frame the region





Find Positions: 4*. Add a Shift





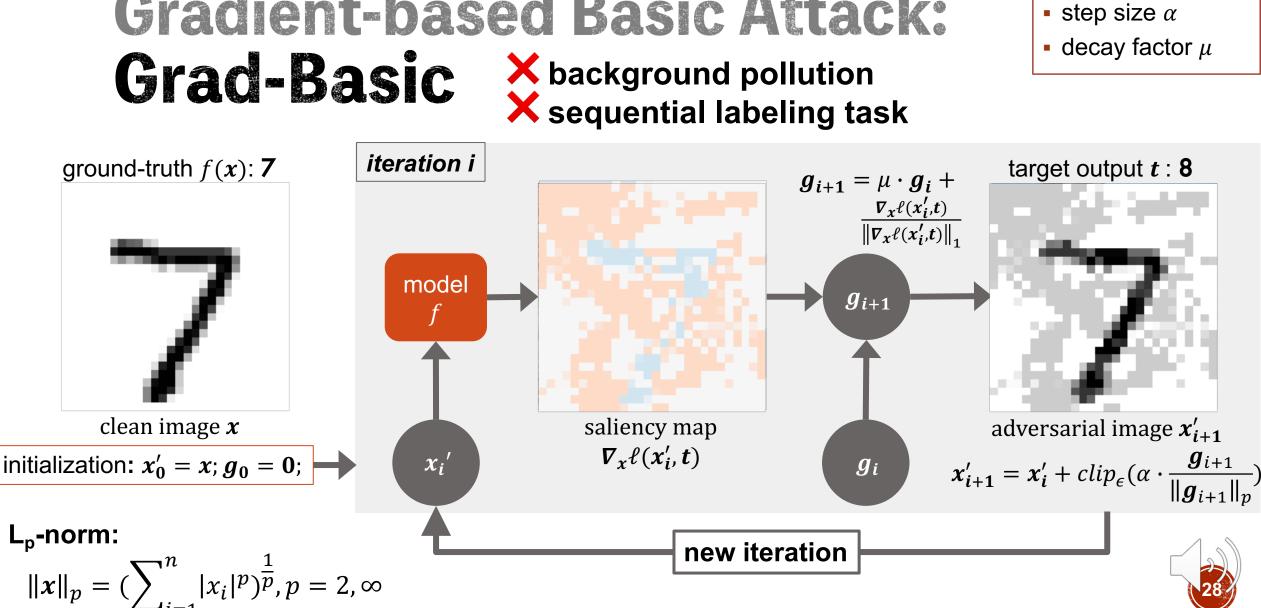
Watermark Attack:

Basic Attacks: (traditional attacks)

- Grad-Basic: Gradient-based Basic Attack
 - Momentum Iterative Method (MIM) [Dong et al. 2018]
- Opt-Basic: Optimization-based Basic Attack
 - OCR Attack [Song et al. 2018]
- WM: Watermark region allowed to add noise
- Watermark Attacks: (our attacks)
 - Grad-WM: Gradient-based Watermark Attack
 - Grad-WM = Grad-Basic + WM
 - Opt-WM: Optimization-based Watermark Attack
 - Opt-WM = Opt-Basic + WM



Gradient-based Basic Attack: X background pollution



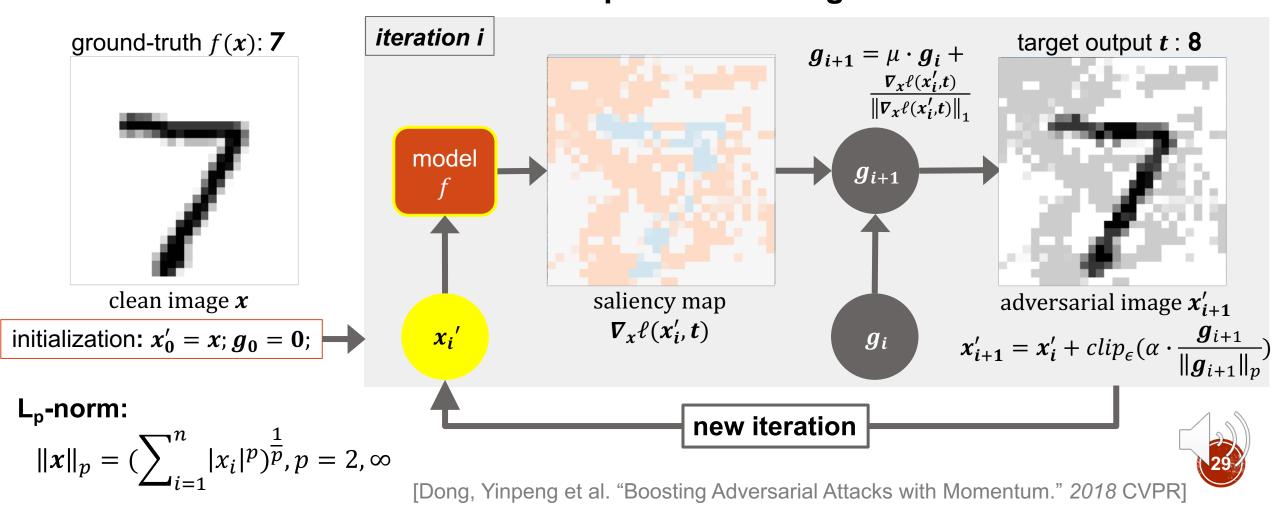
[Dong, Yinpeng et al. "Boosting Adversarial Attacks with Momentum." 2018 CVPR]

cross entropy ℓ

 ϵ -bounded noise

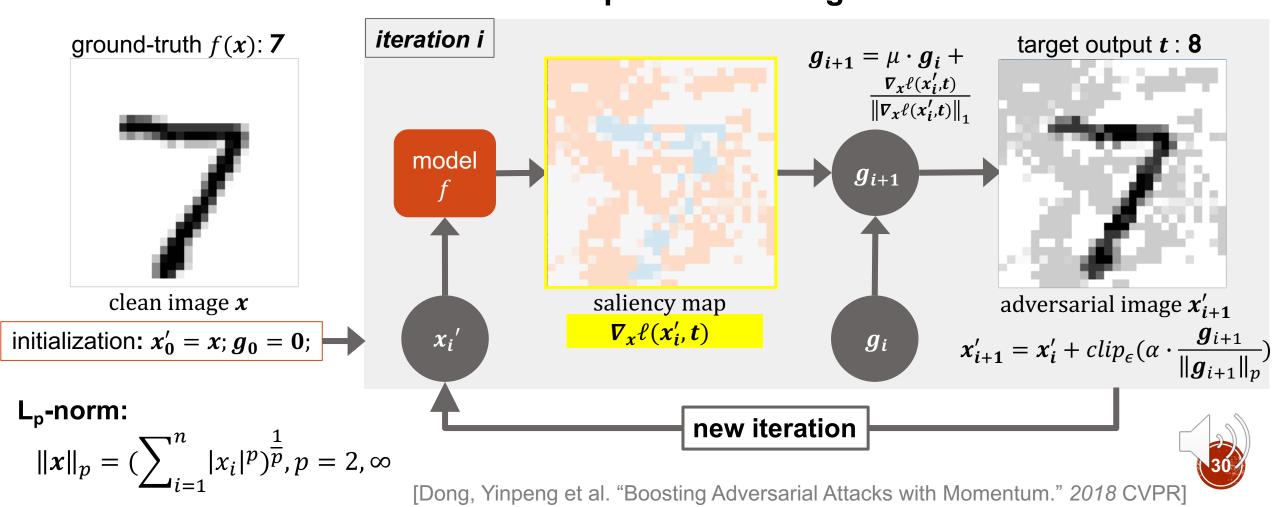
Grad-Basic X background pollution Sequential labeling task

- ϵ -bounded noise
- step size *α*
- decay factor μ



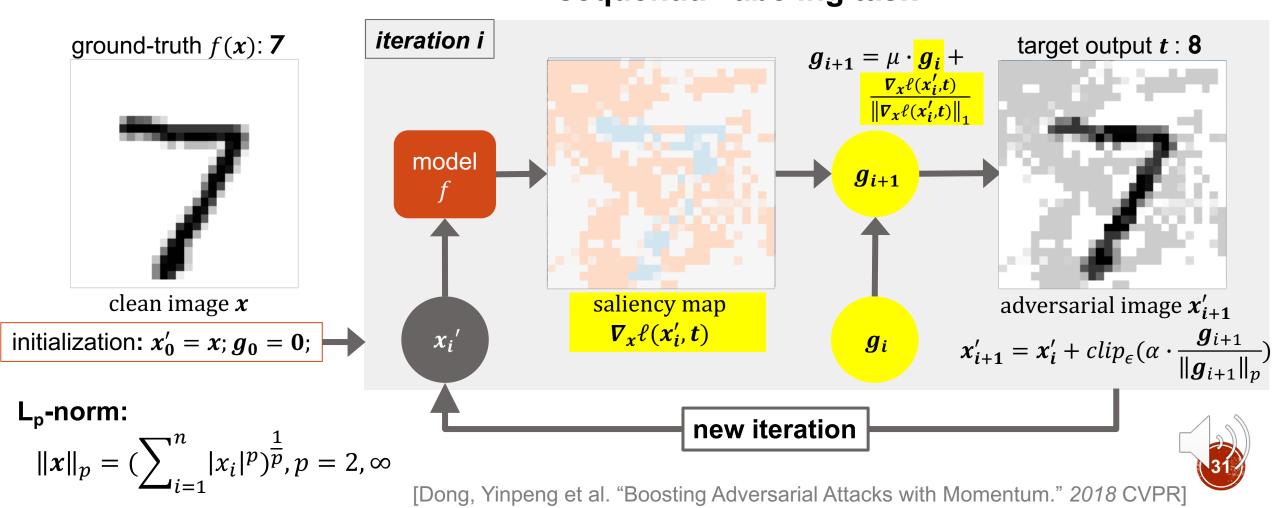
Gradient-based Basic Attack: Grad-Basic background pollution sequential labeling task

- ε-bounded noise
- step size *α*
- decay factor μ



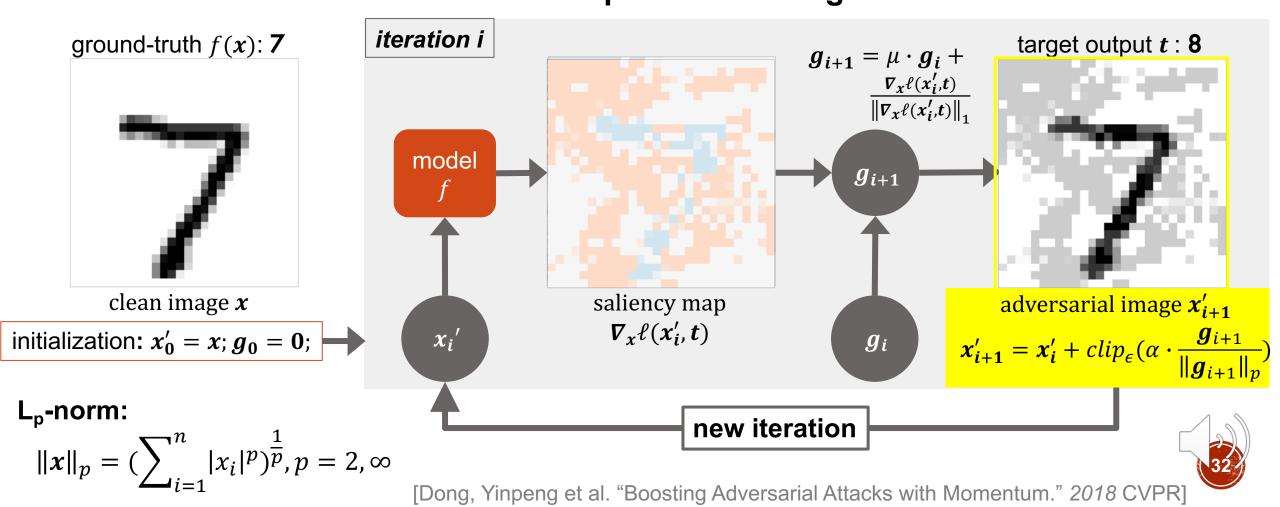
Gradient-based Basic Attack: Grad-Basic background pollution sequential labeling task

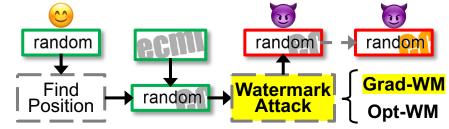
- *ϵ*-bounded noise
- step size *α*
- decay factor μ



Gradient-based Basic Attack: Grad-Basic background pollution sequential labeling task

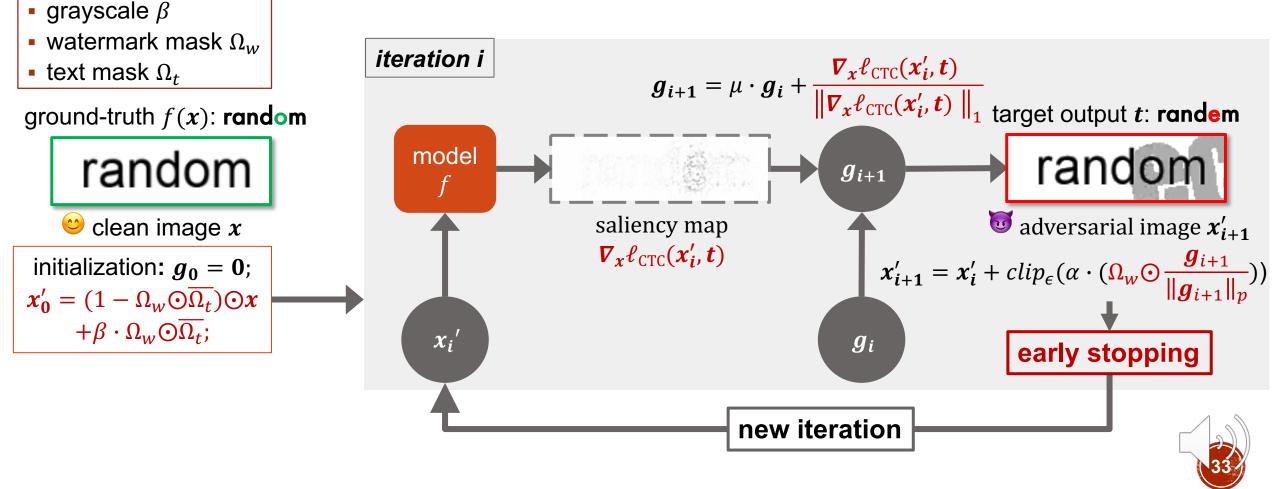
- ε-bounded noise
- step size *α*
- decay factor μ





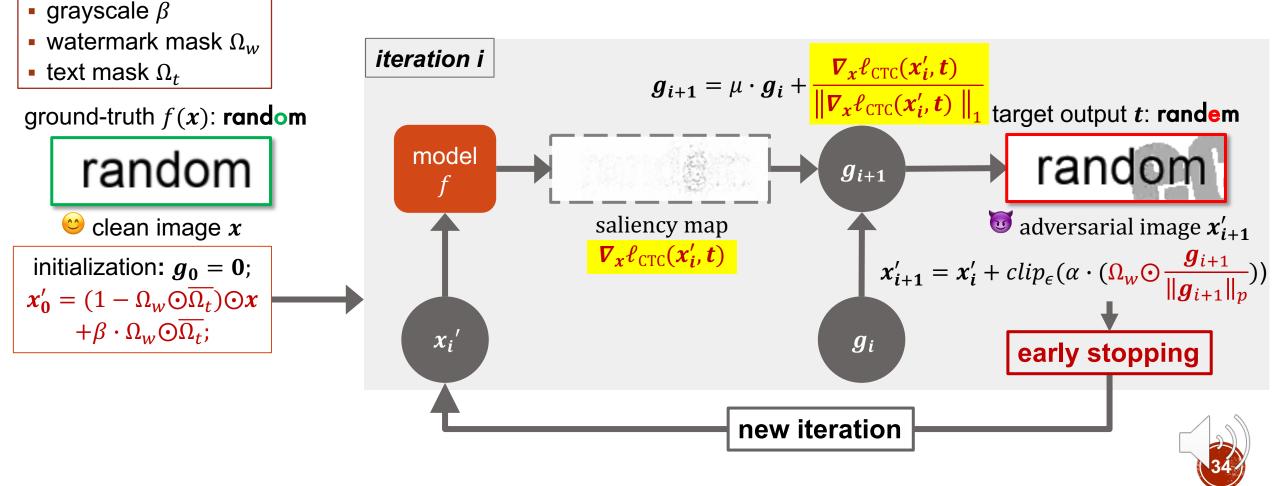
Watermark Attack:

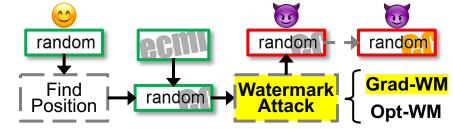
sequential labeling task background pollution



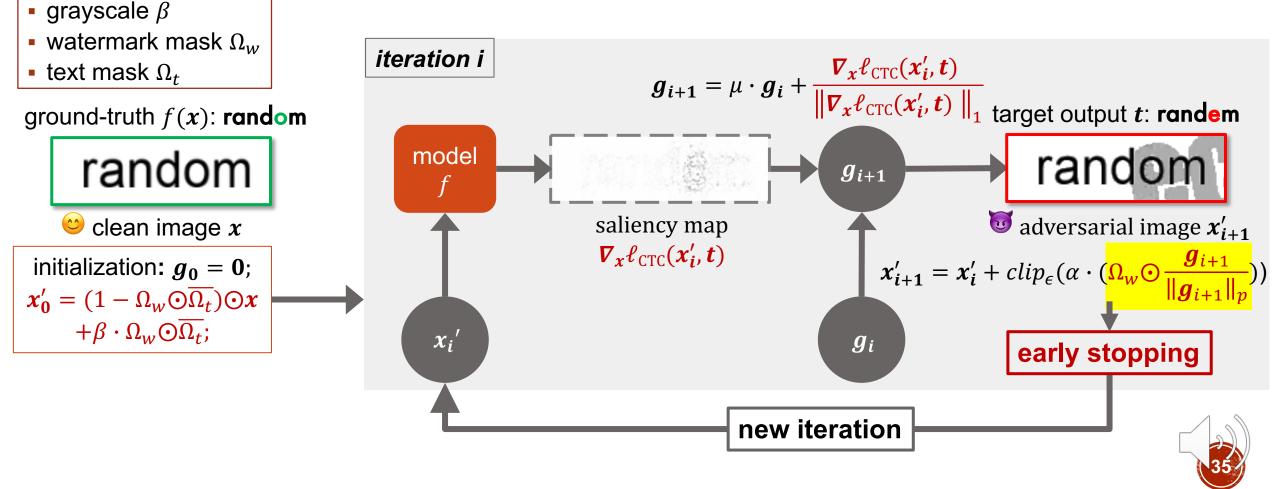


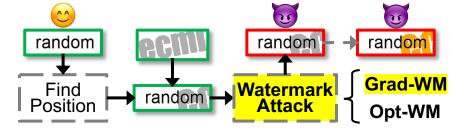
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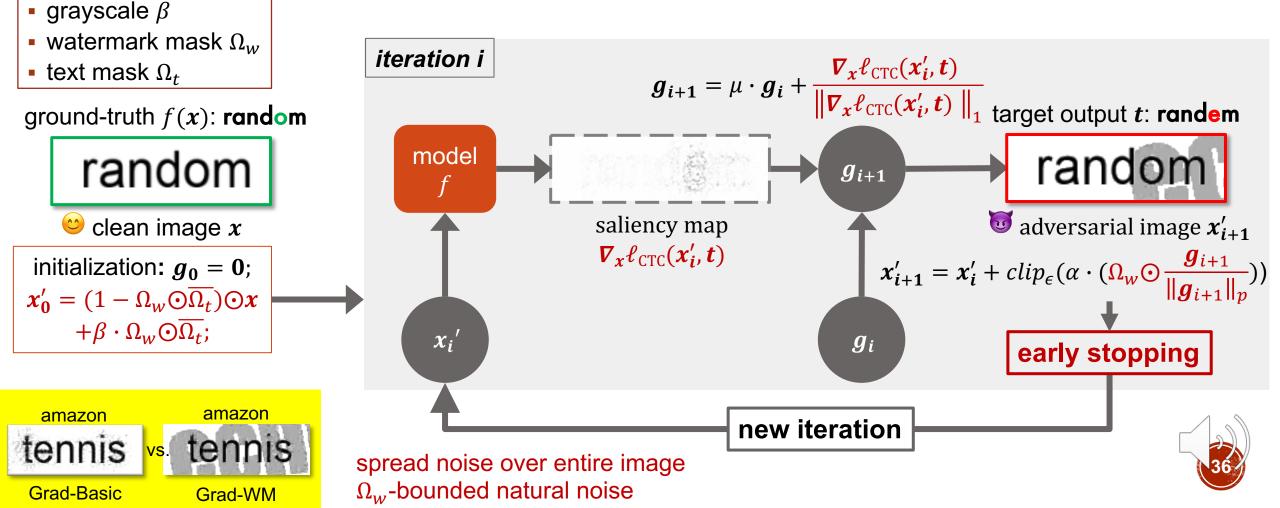


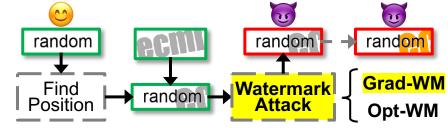
Watermark Attack: Grad-WM=Grad-Basic+WM sequential labeling task background pollution





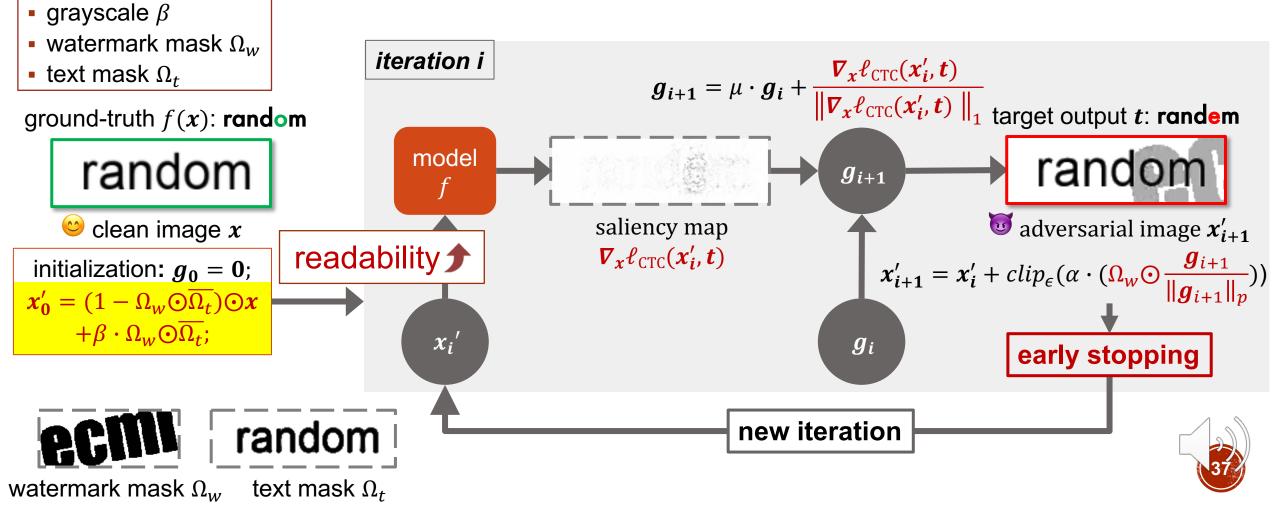
Watermark Attack: Grad-WM=Grad-Basic+WM sequential labeling task background pollution

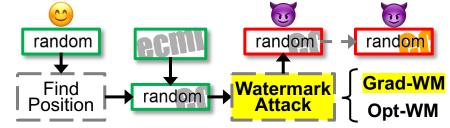




Watermark Attack:

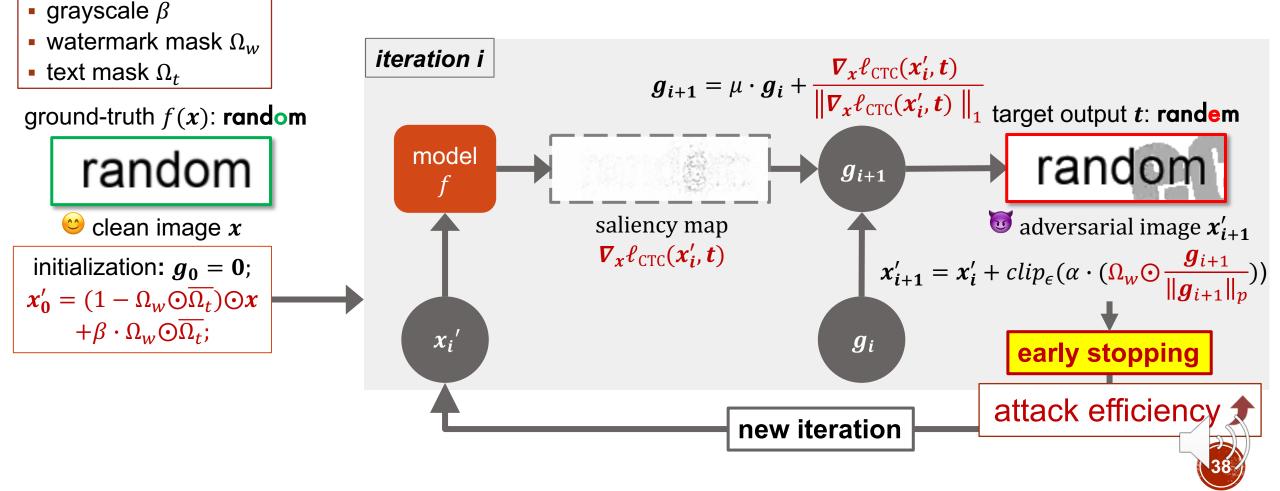
sequential labeling task background pollution





Watermark Attack:

sequential labeling task background pollution



Watermark Attack: Opt-WM=Opt-Basic+WM

Sequential labeling task background pollution

random Watermark

random

Attack

random

Find Position

• Opt-Basic:

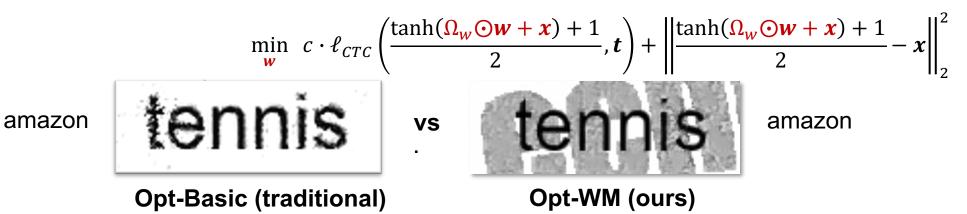
$$\min_{\boldsymbol{w}} c \cdot \ell_{CTC} \left(\frac{\tanh(\boldsymbol{w}) + 1}{2}, \boldsymbol{t} \right) + \left\| \frac{\tanh(\boldsymbol{w}) + 1}{2} - \boldsymbol{x} \right\|_{2}^{2}$$

Opt-WM:

I. Separate the perturbation term w :

$$\min_{\boldsymbol{w}} c \cdot \ell_{CTC} \left(\frac{\tanh(\boldsymbol{w} + \boldsymbol{x}) + 1}{2}, \boldsymbol{t} \right) + \left\| \frac{\tanh(\boldsymbol{w} + \boldsymbol{x}) + 1}{2} - \boldsymbol{x} \right\|_{2}^{2}$$

• 2. Introduce the watermark mask Ω_{w} :





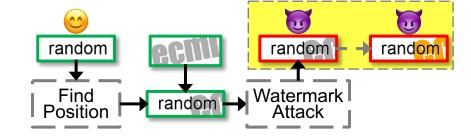
35

random

Grad-WM

Opt-WM

Improving Readability: Full-Color Conversion



- Given grayscale value Gray, fix R value and B value, we can calculate the left G value by the ITU-R 601-2 luma transform:

Gray = R * 0.299 + G * 0.587 + B * 0.114



Experiment Settings: Threat Model

Calamari OCR

- a open-source OCR model
- 2 convolutional layers, 2 pooling layers, a LSTM layer

trained by CTC in Tensorflow





Experiment Settings:Data Generation ---- IMDB

Printed-text images (100% accuracy)

- 5 fonts: Courier, Georgia, Helvetica, Times, Arial (font size:32 px)



- 1092 word images
- 1479 sentence images
- 97 paragraph images



Experiment Settings:Data Generation ---- IMDB

- Attack pairs
 - Letter-Level Attacks (word images)

parts

Difficulty: Easy Case / Random Case / Hard Case (Replace)

parts pants parts pacts parts pasts

• Operation: Replace Case / Insert Case / Delete Case

parts pants parts partis parts parts

• Word-Level Attacks (word / sentence / paragraph images) (Replace)

taupe This one did exactly that. Tale one did exactly that.



Evaluation Metrics

Perturbation Level

MSE: mean-square error

- PSNR: peak-signal-to-noise ratio
- SSIM: structural similarity index
- Success Rate
 - ASR: targeted attack success rate 2/2

Attack Efficiency

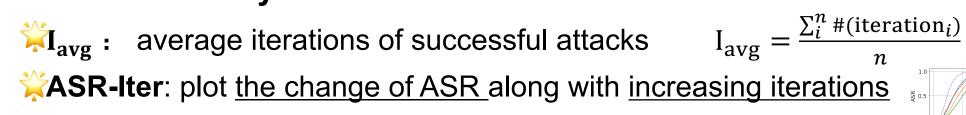


image quality

$$MSE = \frac{1}{|x|} (x - x')^2$$
$$PSNR = 10 \log(\frac{D^2}{MSE})$$

$$ASR = \frac{\#(f(x')=t)}{\#(x)}$$



Basic Attack vs. FAWA: Grad-Basic vs. Grad-WM

- MSE: mean-square error
 - I_{avg} : average iterations of successful attacks
- ASR: targeted attack success rate

replacement deletion insertion random hard easy MSE I_{avg} $\overline{I}_{\mathrm{avg}}$ MSE MSE $\mathrm{I}_{\mathrm{avg}}$ MSE MSE Iavg I_{avg} Courier 10.5597417.07011.6503.22114.027.44332.899 37.322.117.355Georgia 10483 Grad-Basic Helvetica 27.05133.611338.611323.07016.743 Times 26.46231.585 35.810920.39817.268 73Arial 29.85136.742.566 24.388 19.259parts parts parts parts example parts Courier 2.83.630 184.3273.6 210.78 9.8Georgia 7.8158.9 33 30 5.139 3.521Grad-WM Helvetica 5211.2526.3233.78.4 10.0199 7.38.3 9.34.521Times 1520343.4Arial 131412.7256.233 209.411.1 4.4 parts parts parts example parts parts target output partis pants pacts pasts pars

ASR: 100% in default

letter-level attack in word images



FAWA: Lower Perturbation Level

		replacement						insertion		deletion	
		easy		random		hard					
		MSE	$\mathrm{I}_{\mathrm{avg}}$	MSE	$\mathrm{I}_{\mathrm{avg}}$	MSE	$\mathrm{I}_{\mathrm{avg}}$	MSE	$\mathrm{I}_{\mathrm{avg}}$	MSE	$\mathrm{I}_{\mathrm{avg}}$
Grad-Basic	Courier	10.5	59	14.0	74	17.0	70	11.6	50	3.2	21
	Georgia	27.4	43	32.8	99	37.3	104	22.1	83	17.3	55
	Helvetica	27.0	51	33.6	113	38.6	113	23.0	70	16.7	43
	Times	26.4	62	31.5	85	35.8	109	20.3	98	17.2	68
	Arial	29.8	51	36.7	73	42.5	66	24.3	88	19.2	59
example		parts		parts		parts		parts		parts	
Grad-WM	Courier	2.8	- 30	3.6	18	4.3	27	3.6	21	0.7	8
	Georgia	7.8	15	8.9	33	9.8	30	5.1	39	3.5	21
	Helvetica	8.4	9	10.0	52	11.2	52	6.3	23	3.7	19
	Times	7.3	15	8.3	20	9.3	34	4.5	7	3.4	21
	Arial	9.4	13	11.1	. 14	12.7	25	6.2	33	4.4	20
example		parts		parts		parts		parts		parts	
target output		pants		pacts		pasts		partis		pars	

letter-level attack in word images

- MSE: mean-square error
 - I_{avg} : average iterations of successful attacks
- ASR: targeted attack success rate

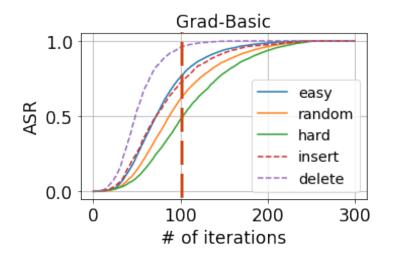
■ 74% less noise (MSE)

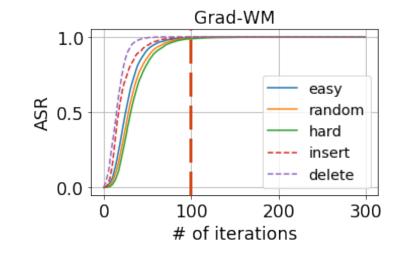
on average

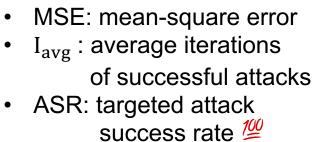


FAWA: Faster Attack Speed

- 67% fewer iterations (I_{avg}) on average
- A sharper slope indicates faster attack speed in the figure.





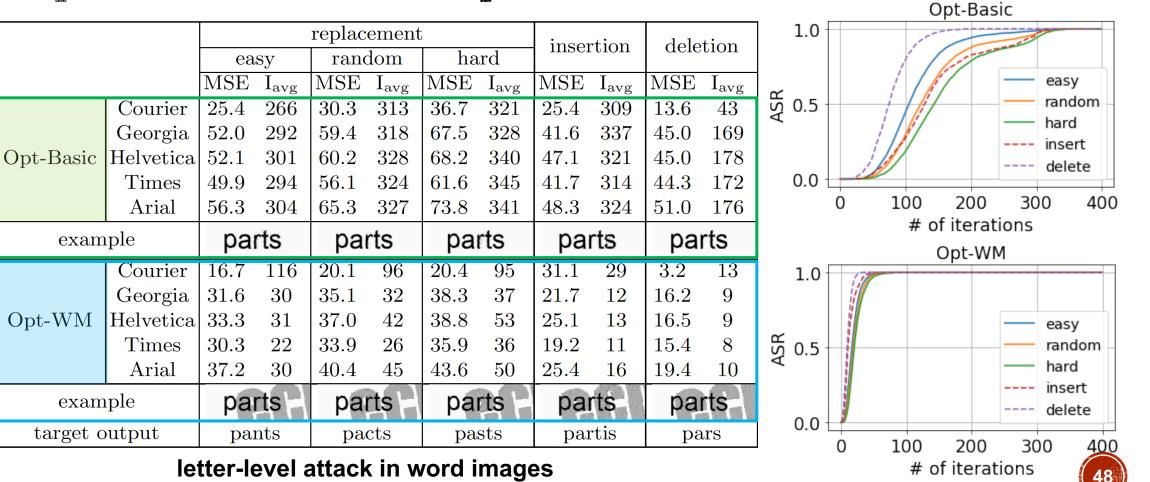




Basic Attack vs. FAWA: Opt-Basic vs. Opt-WM

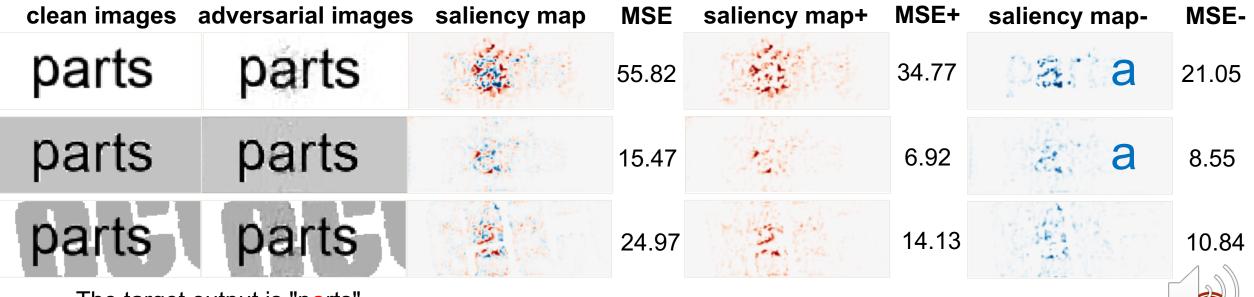
- MSE: mean-square error
 - I_{avg} : average iterations of successful attacks

44% less noise88% fewer iterations



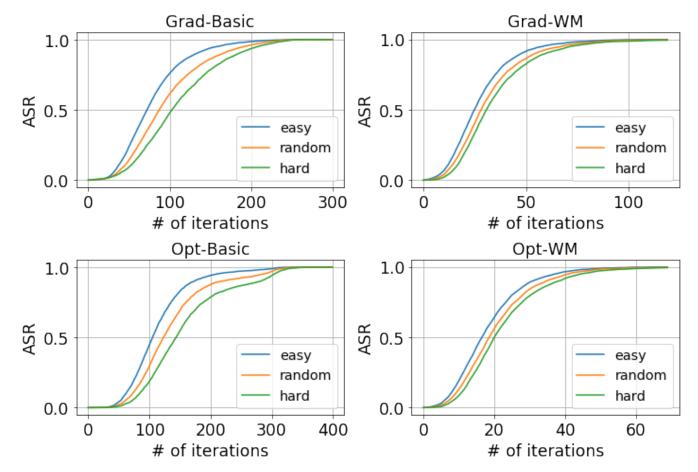
Visualization Explaination: Saliency Map

- The first to the last line are clean, gray and watermark backgrounds.
- **Reduced contrast** is beneficial to reduce noise.



The target output is "ports".

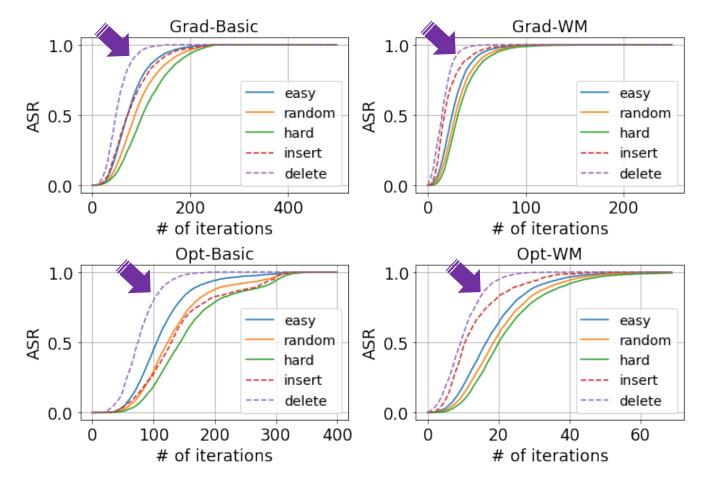
Attack Difficulty: Easy < Random < Hard





letter-level attack in word images with Arial font

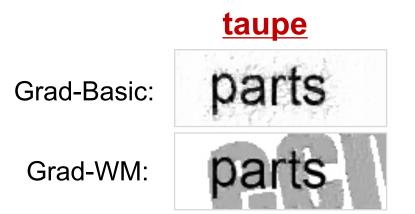
Attack Difficulty: Delete < Insert & Replace



letter-level attack in word images with Arial font



Word-Level Attacks: WM is More Natural



WM attack:
56% lower noise
50% less iterations

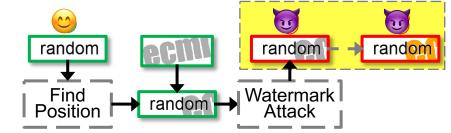
Tale one did exactly that.

Grad-Basic:

This one did exactly that.

This one did exactly that.

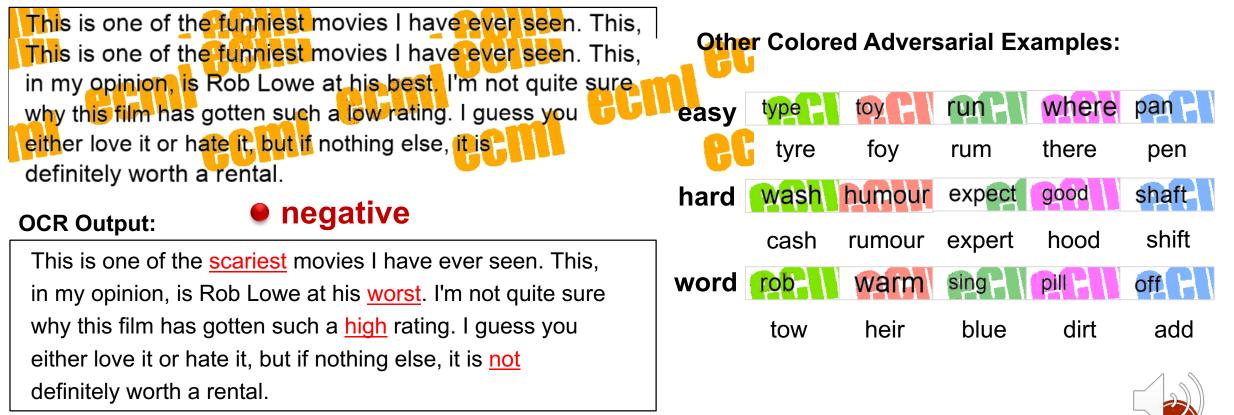
Grad-WM:



Improve Readability: Full-Color Watermarks

Input Image:

positive



Conclusion

- We propose fast adversarial watermark attacks (FAWA) on sequence-based OCR models.
 - Sequential labeling task——CTC loss
 - Background pollution—watermark
 - Natural watermark-style noise.
 - Lower perturbation level.
 - Faster attack speed.







THANK YOU

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